



YUS INTERNATIONAL BULLION LTD
余氏國際金銀業股份有限公司

Client Information Statement
客戶資料表

ACCOUNT NO. 戶口號碼

Type of Account 戶口類別
1. <input type="checkbox"/> Individual Account 個人戶口 / <input type="checkbox"/> Joint Account 聯名戶口 (of)
2. <input type="checkbox"/> Standard Account 標準帳戶 / <input type="checkbox"/> Credit Account 信貸帳戶
3. <input type="checkbox"/> Settled in USD 美元結算

Please fill in the following form carefully, to ensure that information on this form is correct. The company employees or representatives may require you to provide documents and copies of such documents to the company. 請小心填寫以下表格，為確保表格內之資料正確無誤，本公司職員或代表可要求閣下出示證明檔及提供該等檔之副本予本公司。

1. Personal Data 個人資料			
<input type="checkbox"/> Mr. 先生 <input type="checkbox"/> Mrs. 太太 <input type="checkbox"/> Miss. 小姐 <input type="checkbox"/> Ms. 女士		English Name 英文姓名	
		Chinese Name 中文姓名	
Nationality 國籍		ID / Passport No. 身份證 / 護照號碼	Date of Birth 出生日期 yyyy年 mm月 dd日
Correspondence Address 通訊地址 (Postal Code 郵編)			
Mobile Phone No. (For SMS use) 手機號碼(作短訊用途)		<input type="checkbox"/> Receive SMS 接收短訊	Home Phone No. 住宅號碼
E-mail Address 電郵地址		Education Level 教育程度 <input type="checkbox"/> 小學 Primary <input type="checkbox"/> 中學 Secondary <input type="checkbox"/> 大專以上 Tertiary	Can Read & Understand Chinese 能閱讀並明白中文 <input type="checkbox"/> Yes 是 <input type="checkbox"/> No 否
Method of Monthly Account Statement Collection 戶口月結單收取方式 <input type="checkbox"/> By e-statement 電子結單 <input type="checkbox"/> By mail 郵遞收取 (Pls Protect Environment 請支持環保)			
2. Designated Bank Account 指定入帳銀行戶口 (For fund withdrawal 作日後提款入數之用)			
Bank Name 銀行名稱		Account No. 帳戶號碼	
Name of Account Holder 帳戶持有人名稱		SWIFT Code 國際匯款代碼	Currency 貨幣
Bank Address 銀行地址			
3. Financial Summary 財務資料簡要			
Name of Employer 僱主名稱		Occupation 行業	Position 職位
Yrs. Employed 從業年數			
Residence 住屋 <input type="checkbox"/> Self-owned 自置物業 <input type="checkbox"/> Mortgage 按揭物業 <input type="checkbox"/> Rented 租用物業 <input type="checkbox"/> Quarters 宿舍 <input type="checkbox"/> Living with family 與家人同住			
Annual Income 每年總收入 <input type="checkbox"/> <HK\$200,000 <input type="checkbox"/> HK\$200,001-499,999 <input type="checkbox"/> HK\$500,000-1,000,000 <input type="checkbox"/> >HK\$1,000,000		Estimated Net Worth 淨資產值 <input type="checkbox"/> <HK\$2,000,000 <input type="checkbox"/> HK\$2,000,001-5,000,000 <input type="checkbox"/> Other	
4. Investment Experiences 投資經驗			
Investment Experiences 投資經驗 <input type="checkbox"/> Nil 沒有 <input type="checkbox"/> Bullion 貴金屬 <input type="checkbox"/> Stocks 證券 <input type="checkbox"/> Warrants 認股權證 <input type="checkbox"/> Options 期權 <input type="checkbox"/> Futures 期貨 <input type="checkbox"/> Foreign Currency 外匯			
Years of Experiences 投資經驗年資 <input type="checkbox"/> Nil 沒有 <input type="checkbox"/> 1-3yrs 一至三年 <input type="checkbox"/> 3-5yrs 三至五年 <input type="checkbox"/> 5-10yrs 五至十年 <input type="checkbox"/> Over 10yrs 超過十年		Investment Knowledge 投資知識 <input type="checkbox"/> Excellent 豐富 <input type="checkbox"/> Good 良好 <input type="checkbox"/> Fair 一般	
Is Client introduced by *CS / AE / IB? 閣下是否由*客服 / 經紀 / 代理 介紹開戶? <input type="checkbox"/> Yes, authorized *CS / AE / IB code _____ 是, 認可的*客服 / 經紀 / 代理 編號為 _____ <input type="checkbox"/> No 否			

5. Identity of the person(s) ultimately responsible for giving instructions in relation to transactions to be conducted through the Account (N/A if same as owner(s))

就此帳戶進行交易的最終負責發出指示人仕的身份 (若與帳戶持有人相同則不用填寫本欄)

Name 名稱

ID / Passport No. 身份證 / 護照號碼

Address 地址

I acknowledge that I have read the Client Agreement prior to the signing of this Notice of Appointment and confirm that this appointment is governed by the said Agreement. 本人是按照客戶協議的規定作出上述委任。本人確認，本人在委任前已閱讀過客戶協議的規定，並確認此項委任受客戶協議的各條款規定的約束。

6. Consulting Fee Agreement 投資顧問費協議書

The Undersigned Client(s) hereby declared and agreed to pay the consulting fee set out below to the following Introducing Broker. Non-registered Introducing Broker is not allowed to receive consulting fees.

下述簽署客戶在此聲明按照下述條件給予介紹人投資顧問費。本公司並不接受非登記介紹人收取投資顧問費。

*CS / AE / IB Code *客服 / 經紀 / 代理 編號 _____

I, _____ further confirm my consent to your company to charge commission fee to my Account(s) according to the following: 本人，_____ 同意貴公司在本人戶口內按下列收費表收取手續費：

Bid/Offer Spread for Loco London Gold for reference is 本地倫敦金參考買賣差價為： US\$0.5

Bid/Offer Spread for Loco London Silver for reference is 本地倫敦銀參考買賣差價為： US\$0.03

Fix Commission per Contract 每張合約固定手續費為

LLG 本地倫敦金：*US\$ 美元 _____ LLS 本地倫敦銀：*US\$ 美元 _____

Pips Commission per Contract per Side 每張合約單邊點子手續費為

LLG 本地倫敦金：*US\$ 美元 _____ LLS 本地倫敦銀：*US\$ 美元 _____

Client understands and agrees that if Client's account with us is introduced voluntarily by Introducing Broker that Introducing Broker and his/her supervisor(s) shall have the right to check Client's account, but the Introducing Broker shall not have the right to enter into any trades on the account. Client has the responsibility to protect his/her password and all personal information. Client must not disclose the password to any third party. Any fund transfer to the company should directly deposit to our bank account stated in our official website, all third party transfer should be avoided. Client can log into the account to check with ledger balance, the exact positions in the account, the net profit or loss in all contracts closed, and the net unrealized profit and loss in all open contracts figured to the markets. Client should carefully review these statements. If Client has any questions, he/she will contact us immediately.

客戶瞭解並同意，客戶在本公司的帳戶是自願經介紹人引薦而來，介紹人及其上線經紀有權查看客戶帳戶，但介紹人不能以客戶的帳戶從事交易。客戶有責任將自己的帳戶密碼及其他重要個人資料保密，不要將密碼給予第三者。客戶存款應直接存入本公司於官方網站內所提供的銀行帳戶並避免將款項經由第三者處理。客戶可隨時登入帳戶，查看帳戶餘額、帳戶的未平倉合約、平倉合約的淨盈利或虧損、及以市價計算所開倉的未實現淨盈虧。客戶必須仔細審閱這些報表。如果客戶有任何問題，必須立即聯繫本公司。

The Consulting Fee Agreement can be terminated only upon written revocation by Client and after our confirmation. 投資顧問費協議書在獲得客戶書面撤銷通知及經本公司確認後，方可終止。

The undersigned acknowledges having read and understood the foregoing Consulting Fee Agreement.

Account Holder Signature 帳戶持有人簽署

Witness Signature 見證人簽署

Company Authorised Signature

余氏國際金銀業股份有限公司授權人仕簽署
(Official Use Only 內部專用)

Name of Account Holder 帳戶持有人名稱

Name of Witness 見證人名稱

Place of Signed 簽署地點

Date 日期 yyyy年 mm月 dd日

7. Risk Disclosure Statement 風險披露聲明

The risk of loss in leveraged precious metal trading can be substantial. Client may sustain losses in excess of Client's initial margin funds. Placing contingent orders, such as "stop loss" or "stop limit" orders will not necessarily limit losses to the intended amounts. Market conditions may make it impossible to execute such orders. Client may be called upon at short notice to deposit additional margin funds. If the required funds are not provided within the prescribed time, Client's position may be liquidated. Client will remain liable for any resulting deficit in Client's account. Client should therefore consider whether such trading is suitable in the light of Client's own financial position and investment objectives.

從事槓桿式貴金屬交易所導致損失可以是相當大的，客戶所招致的損失可能會超過客戶原本投資的資金。一些附帶條件的定單，例如“止損單”或“止損限價單”，並不一定保證將損失降至於限定的範圍內，因為市場的狀況不可能使該定單成交，有可能在短時間內通知客戶補倉。如果客戶無法在限定的時間內補足資金，客戶的持倉將有機會被強制的平倉，而客戶則對帳戶內的赤字有償還的義務。因此，客戶必須依照客戶的財務狀況及投資標準做審慎的評估及考慮。

